SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL						
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(b) of the Investment Company Act of 1940

to Sect	this box if no lo tion 16. Form 4 tions may conti	or Form 5	ST	ATEMEN	IT O	FC	HAN	IG	ES I	NΒ	ENEFICI	AL (OWNE	ERSHI	P	1	ed averag	ge burde		
	tion 1(b).	nue. See		Filed	pursua or Se	ant to S	Sectior 30(h) c	n 16(of the	a) of th Invest	e Sec	urities Exchar Company Act	nge Act of 1940	of 1934)			nours p	er respon	se:	0.5	
1. Name and Address of Reporting Person* <u>EW HEALTHCARE PARTNERS FUND</u> <u>2, L.P.</u>												5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title X Other (specify				ner				
(Last) (First) (Middle) 21 WATERWAY AVENUE, SUITE 225				3. Date of Earliest Transaction (Month/Day/Year) 06/14/2021							below) A below) See footnote 2									
(Street) THE TX 77380				4. If <i>i</i>	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person							
(City)	(St	ate) (2	Zip)																	
		Table	I - N	Non-Deriva	tive	Secu	rities	6 Ac	quir	ed, C	Disposed o	of, or l	Benefi	cially O	wned					
1. Title of S	Security (Ins	tr. 3)		2. Transaction Date (Month/Day/Ye	ear) E	xecutio any	. Deemed ecution Date, ny onth/Day/Year)		3. Transaction Code (Instr. 8)		5)			and Securities Beneficially Owned Follo Reported		Form (D) or	. Ownership orm: Direct D) or Indirect) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Stock, par	value \$0.001 pe	r	06/14/202	1			_	Code	v	Amount 79,970	(A) or (D)	Price \$12.5	(Instr.	Transaction(s) (Instr. 3 and 4)			See footnotes ⁽¹⁾⁽²⁾		
share		Ta	ble I	I - Derivati	ve Se	ecuri	ties	Acq	Juired	l, Di	sposed of,	, or B	enefici	ally Ow	ned			1000	IOLES	
1. Title of	2.	3. Transaction	20	1		alls, v	-		_		s, converti	_		8. Pric		umber of	10.		11. Nature	
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	y/Year) Execution Date, if any (Month/Day/Year) Transaction of Code (Instr. 8) Expiration Date Derivative Secu Unde Acquired Code (Instr. 8) Expiration Date Derivative Acquired Derivative Code (Instr. 8) Expiration Date Derivative Acquired Derivative Code (Instr. 8) Expiration Date Code (Instr. 1) Code (Inst		unt of Irities erlying /ative Irity (Insti	Deriva Securi (Instr. !	tive derir ty Sec 5) Ben Owr Folle Rep Tran	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		ership n: ct (D) direct nstr. 4)	of Indirect Beneficial Ownershij (Instr. 4)									
					Code	v	(A)	(D)	Dat	e rcisat	Expiratior le Date	Title	Amoun or Numbe of Shares	er						
		f Reporting Person [*] ARE PARTN	ERS	5 FUND 2	<u>, L.F</u>	2														
(Last) 21 WATI		(First) /ENUE, SUITE		(Middle)																
(Street) THE WOODI	LANDS	ТХ	5	77380																
(City)		(State)	((Zip)																
		f Reporting Person [*] ARE PARTN		5 FUND 2	<u>2-A</u> ,															
(Last) 21 WATI		(First) /ENUE, SUITE		(Middle)																
(Street) THE WOODI	LANDS	TX		77380																
(City)		(State)	((Zip)		-														
		Reporting Person [*]																		

(Last)	(First)	(Middle)
21 WATERWAY A	AVENUE, SUITE 22	5
(Street) THE WOODLANDS	ТХ	77380
(City)	(State)	(Zip)
1. Name and Address <u>EW Healthcare</u>	of Reporting Person [*] Partners Fund 2	2-UGP, LLC
(Last)	(First)	(Middle)
21 WATERWAY	AVENUE, SUITE 22	5
(Street) THE WOODLANDS	тх	77380
(City)	(State)	(Zip)

Explanation of Responses:

1. Shares shown above were the aggregate amount of shares purchased by EW Healthcare Partners Fund 2, L.P. ("Fund 2") and EW Healthcare Partners Fund 2-A, L.P. ("Fund 2-A") on the date set forth above. Fund 2 purchased 48,545 shares and Fund 2-A purchased 31,425 shares of the amount shown purchased. Fund 2 now holds a total of 742,191 shares and Fund 2-A now holds a total of 1,042,041 shares of the Issuer.

2. EW Healthcare Partners Fund 2 GP, L.P. (the "EW Funds 89,515GP"), is the general partner of each of EW Healthcare Partners Fund 2, L.P. ("Fund 2"") and EW Healthcare Partners Fund 2-A, L.P. ("EW Funds 2", Lew Funds"). EW Healthcare Partners Fund 2- UGP, LLC (the "General Partner") is the general partner of EW Funds GP. The General Partner holds sole voting and dispositive power over the shares held by each of the EW Funds (the "Shares"). The managers of the General Partner are Martin P. Sutter, Ron Eastman, Scott Bany and Petri Vainio (collectively, the "Managers") and may exercise voting and investment control over the Shares only by majority action of the Managers. Each individual Manager, the EW Funds GP and the General Partner disclaims beneficial ownership over the Shares except to the extent of his or its respective pecuniary interest therein.

Remarks:

EW HEALTHCARE PARTNERS FUND 2, L.P., By: EW Healthcare Partners Fund 2 GP, L.P., its General Partner, By: EW Healthcare Partners Fund 2-UGP, LLC, its General Partner, By: Martin P. Sutter, Attorney-in-Fact, /s/ Martin P. Sutter	<u>06/16/2021</u>
EW HEALTHCARE PARTNERS FUND 2-A, L.P., By: EW Healthcare Partners Fund 2 GP, L.P., its General Partner, By: EW Healthcare Partners Fund 2-UGP, LLC, its General Partner, By: Martin P. Sutter, Attorney-in-Fact, /s/ Martin P. Sutter	<u>06/16/2021</u>
EW HEALTHCARE PARTNERS FUND 2 GP, L.P., By: EW Healthcare Partners Fund 2-UGP, LLC, its General Partner, By: Martin P. Sutter, Attorney-in-Fact, /s/ Martin P. Sutter	<u>06/16/2021</u>
EW HEALTHCARE PARTNERS FUND 2-UGP, LLC, By: Martin P. Sutter, Attorney-in-Fact, /s/ Martin P. Sutter ** Signature of Reporting Person	<u>06/16/2021</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.